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<b>(54) Title:</b> <b>GLUTEN-DERIVED COLLOIDAL DISPERSIONS AND EDIBLE COATINGS THEREFROM</b>  <b>(57) Abstract</b> <p>Film forming colloidal dispersions containing gluten-derived proteins and peptides and their methods of manufacture are described. The colloidal dispersion can be coated onto a variety of substrates to provide a glossy sheen to the substrate. The colloidal dispersions can function as an adhesive for adhering particles onto the substrate. Foods coated with the colloidal dispersion are also described.</p>		

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## GLUTEN-DERIVED COLLOIDAL DISPERSIONS AND EDIBLE COATINGS THEREFROM

### BACKGROUND OF THE INVENTION

Egg wash is typically used as a baking gloss for enhancing the appearance of  
5 breads, pies, cakes and other baked goods. However, with the growing concern of  
*Salmonella* contamination of processing equipment and food, alternative  
compositions that provide good gloss finishes on edible substrates are desirable.

### SUMMARY OF THE INVENTION

The present invention relates to methods of forming colloidal dispersions  
10 containing microparticles of gluten-derived proteins and peptides. The colloidal  
dispersions can be maintained as stable, homogeneous dispersions under storage  
conditions (e.g., ambient, cold or frozen) without microbial contamination.  
Alternatively, the colloidal dispersions can be dried and rehydrated prior to use.

Colloidal dispersions of the present invention, when cast onto a substrate,  
15 form a glossy coating when dried at ambient or elevated temperatures. The films  
can further serve as an adhesive for adhering particulate material (e.g., seeds, salts,  
spices, confections, fruit) onto the substrate. Suitable substrates include but are not  
limited to confections, cooked and dehydrated meats, dessert items, snack foods  
(e.g., pretzels, chips, tortillas), fried foods (e.g., french fries), candies (e.g.,  
20 chocolates), fruit (e.g., apples), vegetables, cereals, baked goods, seeds, nuts, beans  
(e.g., coffee), pharmaceuticals (e.g., vitamins and tablets) and paper.

Edible coatings produced by the present method are clear films which are  
non-toxic since they are derived from gluten from wheat, corn, rye, barley, rice or  
sorghum. The colloidal dispersions of the present invention do not contain toxic  
25 organic solvents, thus, the residues of these undesirable elements are minimized or  
eliminated.

## DETAILED DESCRIPTION OF THE INVENTION

The invention pertains to aqueous colloidal dispersions of gluten-derived proteins and peptides, which when coated onto a substrate will impart a glossy coating thereon. The invention further pertains to methods for making the aqueous gluten-  
5 derived colloidal dispersions, to methods for using the gluten-derived colloidal dispersions as a baking gloss, for example, and to edible coatings on various substrates, included foods, pharmaceuticals and paper. The invention further pertains to methods for adhering edible particulate material (e.g., seeds, spices, candies, nuts) onto the surface of a substrate using the colloidal dispersions described herein.

10 The term "colloidal dispersion" as used herein means a suspension of microparticles of gluten-derived proteins and peptides having a median volume diameter of about 10 microns or less in an aqueous medium. Preferably, the gluten-derived microparticles will be from about 4 to about 5 microns and should be essentially free of starch. The terms "stable" and "homogeneous" as used herein define colloidal  
15 dispersions in which substantially all the microparticles of gluten-derived proteins and peptides can remain uniformly dispersed within the aqueous medium for an indefinite period of time under storage conditions, without irreversible precipitation or agglomeration.

Gluten useful in the methods of this invention is found in high concentrations in  
20 various grains, such as wheat, corn, rye, barley, rice and sorghum, and in other plant sources. Natural wheat gluten and vital wheat gluten are particularly preferred in the methods of this invention.

In one embodiment of the invention, an aqueous colloidal dispersion of gluten-derived proteins and peptides can be prepared by first dispersing gluten in an aqueous  
25 medium at a temperature sufficient to hydrate the gluten. Preferably, gluten is added to an aqueous medium (e.g., water) which has been heated to a temperature sufficient to disperse the gluten in the aqueous medium, under agitating conditions. A preferred temperature for adequate dispersion is from about 20°C to about 75°C, with 45°C being most preferred. As the gluten becomes dispersed within the aqueous medium, the

viscosity of the dispersion increases. Therefore, the amount of gluten that can be dispersed in the aqueous medium will be dictated by the desired viscosity and the further processing steps described herein. For example, the amount of gluten which can be dispersed in the aqueous medium will be from about 10% to about 35% solids, with  
5 from about 14% to about 17% solids being most preferred. When adding gluten in the higher solids range, gradual addition of gluten into the aqueous medium is desirable, and will be described in detail below. For purposes of the present invention, "aqueous medium" is defined as water or a solution which is substantially water such as buffer, acid, antioxidant, reducing agent, and/or chelating agent solutions. It is preferred that  
10 the aqueous medium, such as water, be pretreated to remove dissolved minerals.

In order to facilitate dispersion of gluten, it is desirable to acidify the aqueous medium to a pH of from about 3 to about 4 using organic and/or mineral acids (e.g., acetic acid, tartaric acid, citric acid, phosphoric acid, hydrochloric acid, lactic acid and others), with acetic acid and phosphoric acid being preferred. The acid can be added to  
15 the aqueous medium prior to, during or after addition of the gluten. The viscosity of the gluten dispersion can be controlled by gradually adding acid to the gluten dispersion early in the reaction.

In one embodiment, the resulting aqueous dispersion is treated, under agitation, with a protease to hydrolyze protein contained in the dispersion. A suitable protease is  
20 one which is operative at acid pH of from about 1.5 to about 5.5, for example acid fungal protease, such as but not limited to AFP 2000 (Genencor International, Rochester, NY; food grade acid fungal protease obtained by a controlled fermentation of *Aspergillus niger* var.; optimum pH of from about 2.5 to about 3.5 at 37°C according to the manufacturer). The protein hydrolysis step should be carried out for a period of  
25 time suitable to achieve a drop in viscosity. Preferably, the protein hydrolysis reaction should be carried out for about 2 to about 3 hours in order to achieve adequate viscosity and gloss properties of the final product. It has been demonstrated that the degree of protein hydrolysis is important in the final gloss properties of the gluten-derived colloidal dispersions.

The degree of viscosity can be obtained by measuring the time (seconds) that it takes to empty a #2 Zahn cup for monitoring the process or using a Brookfield viscometer for the final product. A preferred viscosity is a gluten dispersion that takes about 16 to about 18 seconds to empty a #2 Zahn cup (Paul N. Gardner Co., Inc.,  
5 Pompano Beach, FL) at 45°C. It is desirable to achieve a viscosity that is compatible with the method by which the colloidal dispersion will be applied to the substrate to be coated. For example, the viscosity should be adequate to atomize the colloidal dispersion through a spray nozzle, e.g., 45 cP for a hand held sprayer; up to 100 cP for a pressurized commercial sprayer.

10 The degree of gloss is dependent upon the microparticle size of the gluten-derived proteins and peptides. This is controlled by the degree of hydrolysis of the gluten. Adequate gloss properties are based, in part, upon the substrate to be coated and the type of finish desired. Gloss can be measured using known techniques such as by the methods described in the Examples Section. For example, a liquid sample is coated  
15 onto a opacity display gloss board and the gloss measurement taken at a 20° angle using a BYK-Gardner Micro-TRI-gloss reflectometer (BYK-Gardner Inc., Silver Spring, MD) The fraction of light reflected is measured.

After protein hydrolysis is completed to the desired degree, the aqueous colloidal dispersion is heated to a temperature sufficient to gelatinize the starch  
20 contained in the gluten such that it can be hydrolyzed in a subsequent treatment step. The temperature should be from about 65°C to about 95°C. At these temperatures, the protease will become deactivated. The gelatinization step should be carried out for from about 30 minutes to about 60 minutes to render all of the starch available for subsequent hydrolysis since the end desired product should be essentially free of starch. The  
25 presence of starch destroys the gloss properties of the product and therefore its removal is important. The term "gelatinization" or variant thereof is intended to embrace the generally recognized term but also is intended to encompass the process of rupturing essentially all starch granules present in the starch, thereby releasing amylose and amylopectin. For the purpose of the present invention, the term "solubilize" refers to

the absence of any detectable particulate matter, especially partially disrupted starch granules, when viewed under 200 to 400-fold magnification using a standard light microscope.

Next, the gluten-derived colloidal dispersion is cooled to a temperature suitable for enzymatic hydrolysis of the starch contained in the gluten. The purpose of this step is to completely digest the starch to glucose. The preferred enzyme is a glucoamylase, such as Optidex L-300 (Genencor International, Inc., Rochester, NY) and AMG (amyloglucosidase; Novo Nordisk). The starch hydrolysis step is carried out for a period of time sufficient to remove essentially all starch from the colloidal dispersion and under conditions operative for the glucoamylase. Preferably, the temperature is from about 65°C to about 85°C. The amount of starch removed or hydrolyzed can be ascertained using the modified iodine assay described in detail below in the Examples section. When the reaction is completed to the desired degree, the gluten-derived dispersion is heated to a temperature suitable to inactivate the enzyme. The enzyme inactivation step, however, is optional.

The resultant colloidal dispersion is cooled and then optionally diluted to a solids content which is suitable for end use. For example, the colloidal dispersion is diluted to achieve a total solids of from about 10% to about 17% by weight. In one embodiment, it is desirable to add a stabilizing agent to improve freeze/thaw properties (e.g., fructose, maltose or other sugars). The addition of fructose in amounts of from about 10% to about 30% by weight of solids allows the colloidal dispersion to be stably maintained under freeze and thaw cycles. It has also been shown that the addition of fructose improves the gloss of the final product compared to similar colloidal dispersion absent fructose.

In another embodiment of the process, it is possible to achieve a high concentration of gluten-derived solids by slowly metering gluten into an aqueous medium, under agitating conditions, preferably at a temperature sufficient to disperse the gluten therein. The gluten is added concurrent with the protein hydrolysis step such that as the protein is hydrolyzed and the viscosity drops, additional amounts of gluten

can be added and the cycle repeated until the desired concentration of gluten is achieved. According to this embodiment, the percent solids can be from about 25% to about 35% by weight.

In yet another embodiment of the process, after protease hydrolysis is completed to the desired degree, particulate starch can be physically removed from the gluten by centrifugation. Due to the decreased viscosity of the colloidal dispersion after protein hydrolysis, essentially all starch can be removed under minimal centrifugation conditions. For example, the colloidal dispersion can be centrifuged at from about 2,000 to about 3,000 x g for about 5 minutes. The supernatant can then be treated with a starch hydrolyzing enzyme, such as a glucoamylase as described above, to hydrolyze any residual starch contained herein. The centrifugation step provides two advantages. It eliminates the need to gelatinize the starch and it enables the amount of starch hydrolyzing enzymes to be reduced.

In yet another embodiment, the aqueous colloidal dispersion of gluten (e.g., for example, the amount of gluten which can be dispersed in the aqueous medium will be from about 1% to about 16% solids, with from about 12% to about 15% being most preferred) is heated to a temperature sufficient to gelatinize the starch contained in the gluten such that it can be hydrolyzed in a subsequent treatment step. Preferably, the temperature is from about 65°C to about 85°C. The gelatinization step should be carried out for from about 10 minutes to about 60 minutes to render all of the starch available for subsequent hydrolysis since the end desired product should be essentially free of starch. Next, the gluten-derived colloidal dispersion is cooled to a temperature suitable for enzymatic hydrolysis of the starch contained in the gluten. The purpose of this step is to completely digest the starch to glucose or maltose. The starch hydrolyzing enzyme is an enzyme containing glucoamylase, amylose, pullanase or combination thereof. A preferred enzyme is a glucoamylase, such as Optidex L-300 (Genencor International, Rochester, NY) and AMG (amyloglucosidase; Novo Nordisk). The starch hydrolysis step is carried out for a period of time sufficient to remove essentially all starch from the colloidal dispersion and under conditions operative for the



glucoamylase. Preferably, the temperature is near the optimum for the given glucoamylase. The amount of starch removed or hydrolyzed can be ascertained using the modified iodine assay described in detail below in the Examples section. The resulting aqueous dispersion is treated, under agitation, with a protease to hydrolyze protein contained in the dispersion, as described above. The colloidal dispersion is heated to about 100°C for between about 30 min. to about 2 hours, preferably for about 1 hour. Preferably, the method of heating is by steam injection but other heating methods, such as those described herein, can be used. The purposes of this step are to inactivate the enzyme(s) and to stabilize the colloidal dispersion. The length of heating should be that which is adequate to avoid protein gelation and preserve gloss properties. For example, insufficient heating will result in gelation while overheating will adversely affect gloss. The resultant colloidal dispersion is cooled and then optionally diluted, as described above, to a solids content which is suitable for end use.

Colloidal dispersion of this invention are stable and do not form gels. The colloidal dispersion comprises microparticles of gluten-derived proteins and peptides having a median volume diameter of about 10 microns or less in an aqueous medium. Preferably, the microparticles are from about 4 to about 5 microns. The colloidal dispersion further comprises soluble peptides and glucose but should be essentially free of starch.

Alternative forms of drying, such as flash drying, fluid-bed drying, drum drying or spray drying can be used as long as the microparticles do not aggregate or fuse to each other. This powder can then be stored and handled without refrigeration or other special handling procedures. Rehydration can be accomplished by adding the powder to water, or an aqueous medium, with agitation sufficient to resuspend the protein particles and form a colloidal dispersion that is similar to the never dried colloidal dispersion and resultant film therefrom. The ratio of powder to water will depend upon the concentration of the final reconstituted product which is desired. It has been demonstrated that a rehydrated colloidal dispersion provides gloss essentially equivalent to similar colloidal dispersion that has never been dried.

Flavors, colors, antioxidants, emulsifiers, oils and/or preservatives (e.g., ascorbic acid, benzoate, sorbate, benzoic acid, sorbic acid, acetic acid) can also be added to achieve a desired result, such as to extend shelf life, reduce or prevent microbial growth and the like. In one embodiment, color can be added to achieve the appearance of egg wash, typically used in the baking industry. Additives which are soluble in water can be incorporated in the coating formulation by direct dissolution in the aqueous medium of the colloidal dispersion. Additives which are insoluble in water may be dispersed by surfactants and added as an emulsion or colloidal dispersion. Examples of insoluble additives include but are not limited to oils, flavors, trace minerals, vitamins, nutrients or nutraceuticals (e.g., vitamin A, vitamin E).

The colloidal dispersions can be used in various applications in the food, paper and pharmaceutical industries, including as edible coatings or barriers in foods or drugs. Suitable substrates include but are not limited to confections, cooked and dehydrated meats, dessert items, snack foods (e.g., pretzels, tortillas, chips), candies (e.g., chocolates), fruit (e.g., apples), vegetables, baked goods (e.g., buns, pastries, pies, bagels, breads), cereals, seeds, nuts (e.g., peanuts, cashews, almonds, macademia), beans (e.g., coffee beans), vitamins, tablets and fried foods (e.g., french fries).

For food and drug purposes, the coating should impart neither significant flavor nor color, so that it does not substantially alter the flavor or appearance of the food or the drug product. Some commercial preparations of gluten-derived proteins may impart a yellow color to the protein suspension or may have an objectionable odor and/or flavor.

The edible coating can be applied to the substrate of choice by any suitable method. Examples of suitable methods include spraying, dipping, pouring, brushing, rolling, extrusion, coprecipitation, or as a composite, whose rheology and composition allows it to be extruded as a film. The gluten-derived colloidal dispersion is applied to the substrate and dried at ambient or elevated temperature to evaporate the water and cause the microparticles to fuse or coalesce into a continuous film.

The present method using a water-based gluten-derived protein colloidal dispersion has several advantages. The colloidal dispersion can be used directly or can be dried to form a stable powder which can be readily reconstituted with water, or other aqueous medium, prior to use. The colloidal dispersion is stable and homogeneous under storage conditions. The colloidal dispersion forms a continuous, durable film upon curing which is colorless, odorless, bland to the taste, non-toxic and provides gloss. The degree of gloss properties of the film is that defined according to generally accepted terms of the painting industry, e.g., matte, semi-gloss, high gloss. The degree of gloss will be dependent upon the substrate to be coated.

10       The colloidal dispersions of this invention can function as an adhesive for adhering edible particulate material onto the substrate's surface. An "edible particulate material" is defined herein to be any edible thing that can be added to the substrate's surface for the purpose of ornamentation, flavoring or coloration. The exact nature of the particulate material will depend upon the food to be coated. Examples of edible  
15       particulate materials include, but are not limited to, fruit pieces, confections (e.g., candies, sprinkles), seeds, salt, spices, and combinations thereof. The edible particulate materials can be applied to the substrate immediately upon coating the substrate with the colloidal dispersions of this invention. Alternatively, the substrate can be coated with the colloidal dispersion and allowed to partially dry until the coating is tacky, then  
20       the particulate material(s) can be applied.

Film properties of the gluten-derived protein coating can be modified by controlling the concentration of gluten-derived protein in the colloidal dispersion, the mode of application and the number of layers applied. For example, where a thicker coating is desired, either a colloidal dispersion having a higher gluten-derived protein  
25       concentration or multiple layers can be applied.

The invention is further illustrated by the following examples which should not be construed as limiting in any way. All references cited herein are incorporated by reference in their entirety.

## EXAMPLES

## MATERIALS:

## PRODUCT CHARACTERIZATION:

5 *Film Properties:*1. *Physical:*

ASTM methods were used for measuring drying time (ASTM D1640); gloss (BYK-Gardner MicroTri-Gloss reflectometer) (ASTM D523-95), cross-hatch film adhesion (ASTM D-823).

- 10 Gloss was also measured on a hamburger bun as a practical index of its potential as a baking gloss. This was done by spraying a light coating on the surface of the hotbun and then monitoring the rate of drying, dried film continuity, clarity and gloss. One ml of liquid sample is pipetted onto a gloss board (Leneta Company, 9B7-5, 8x11<sup>3</sup>/<sub>8</sub>") and coated into a film using a bird film applicator (Leneta Company, AR-  
15 5259). The film is dried and gloss is measured using a BYK-Gardner micro-TRI-gloss reflectometer (BYK-Gardner, Inc., Silver Spring, MD) at a 20°C angle.

The particle size distribution for the protein microparticles were determined using a Coulter Particle Size Analyzer or a light microscope (Olympus, BH-2) equipped with a BioScan Optimas Image Analyzer.

20 2. *Chemical:*

- A modified iodine test was done by taking 5-7 drops of wheat gluten gloss suspension, and diluting it with 1 ml of water in a small test tube. The liquid was then boiled for several seconds using a bunsen burner flame. The tube was cooled with tap water followed by adding 1-2 drops of a solution containing 0.5% iodine and 0.5%  
25 potassium iodide in 50% ethanol. If starch is present a blue color develops (i.e., positive test). If starch is absent, a yellow color develops (negative test). A green color is indicative of a product containing residual or borderline amounts of starch.

3. *Sensory:*

Samples were prepared for sensory evaluation by drying 1g of gloss on a plastic weighing dish. Each panelist consumed the films and rated the overall preference, acid intensity and off flavor intensity using a hedonic scale from 1-9 (1 corresponding to dislike, low intensity and 9 corresponding to like very much, high intensity). After application to hot buns, gloss levels are elevated by visually scoring from 0 to 5, with 0 representing no gloss and 5 representing excellent gloss.

TABLE 1

	Overall Preference	Acid Intensity	Off Flavor Intensity
Phosphoric Acid	5.09	3.46	4.10
Acetic Acid	2.80	6.65	6.19

#### 4. Viscosity

##### A. Zahn Cup Measurement

Zahn cups are stainless steel cups of a predetermined volume. Each cup has a calibrated centered hole in the bottom. To measure the viscosity of a slurry, a sample of the protein slurry is collected in a 1 quart container. The Zahn cup is dipped into the quart container and permitted to fill completely. Then the Zahn cup is quickly withdrawn from the slurry. A timer is started when the cup clears the slurry. The timer is stopped the moment the cup empties. The elapsed time is recorded and compared with the calibration curve developed for each cup to determine the viscosity.

##### 10 B. Brookfield Viscosity

Viscosity can also be measured using a Brookfield viscometer, according to the manufacturer's protocol.

#### EXAMPLE 1 - Use of Protease and Glucoamylase to Produce Wheat Gluten Gloss

Vital Wheat Gluten (VWG) powder (76 grams) was dispersed with stirring in water (421 grams) at  $45 \pm 2^\circ\text{C}$  followed by adding acid fungal protease (AFP 2000; Genencor International, Rochester, NY) (19 mg) and 85% phosphoric acid (3.04 g). Immediately the viscosity became high, but in 5 minutes it dropped dramatically displaying the beginning of the protein hydrolysis. The stirred slurry maintained at said temperature for three hours. After that, the temperature was raised to  $90^\circ$  to  $95^\circ\text{C}$  for 10 minutes to kill the enzyme and gelatinize starch, and then reduced to  $65 \pm 2^\circ\text{C}$  followed by addition of a glucoamylase (Optidex L-300; Genencor International, Rochester, NY) (0.27 ml). In 30 minutes when the starch iodine test was negative, benzoic acid (0.4 grams) as a preservative was dissolved, the suspension was cooled down and adjusted to a pH of 3.0 with phosphoric acid. The procedure resulted in a product with high gloss on the various substrates (e.g., 40 on Leneta gloss boards and 4.5 on hot buns).

EXAMPLE 2 - *Enzymatic Treatment of Vital Wheat Gluten (VWG) using a Gradual Addition of Acid at Reduced Temperature*

VWG powder (76 g) and a glucoamylase (Optidex L-300)(0.27 ml) were dispersed with stirring in water (421 g) having temperature of  $65\pm 2^{\circ}\text{C}$  and containing 5 8.5% phosphoric acid (10 ml). Within 30 minutes after preparing the slurry the rest of 8.5% phosphoric acid (20 ml) was added either by small portions or continuously. The stirring was continued at said temperature until starch iodine test was negative (totally 1-1.5 hours). After that, the temperature was reduced to  $45\pm 2^{\circ}\text{C}$  and acid fungal protease as powder (AFP 2,000)(19 mg) was added as a powder. The stirring was 10 maintained at this temperature for 3 hours. The enzymes were inactivated by heating to  $95^{\circ}\text{C}$  for 10 minutes, benzoic acid (0.4 g) as a preservative was added, the suspension was cooled down and diluted to 12-14% solids and adjusted to a pH of 3.0 with phosphoric acid. The final product provided a shiny gloss; it could be improved noticeably adding fructose (up to 30% of solids). This procedure allows to avoid the 15 lumps forming as well as a high viscous slurry.

EXAMPLE 3 - *Enzymatic Treatment of Vital Wheat Gluten Resulting in High Concentrated Gloss*

VWG powder (125 g) was divided in 5 parts (approximately 25 g each) as well as 50 ml of 8.5% phosphoric acid (10 ml each). The first part of gluten (25 g) was 20 dispersed with stirring in water (325 g) at  $45\pm 2^{\circ}\text{C}$  followed by adding acid fungal protease (AFP 2000) (31 mg) and 8.5% phosphoric acid (10 ml). After the viscosity of the slurry became lower (about 5 minutes), as a result of the enzymatic hydrolysis, the second parts of VWG and phosphoric acid were added. This procedure was repeated every 3 to 7 minutes to maintain acceptable viscosity (forming a vortex at 300-400 25 rpm); the whole process took about 30 minutes. The slurry was stirred for 2.5 hours, after that the temperature was raised to  $90^{\circ}$  to  $95^{\circ}\text{C}$  for 10 minutes and then reduced to  $65\pm 2^{\circ}\text{C}$  followed by addition of a glucoamylase (Optidex L-300) (0.44 ml). In 30 to 40 minutes when the starch iodine test was negative, benzoic acid (0.4 grams) as a preservative was dissolved, and the suspension was cooled down to give a viscous latex

with 25 to 28% solids. When diluted to 12 to 14% and adjusted to a pH of 3.0 with phosphoric acid, it provided high gloss on the various substrates (e.g., 40-45 on Leneta gloss board and 4.5-5.0 scores on hot buns).

5      **EXAMPLE 4 - *Use of Centrifugation to Ease the Process of Obtaining Wheat Gluten Gloss***

VWG powder (76 grams) was dispersed with stirring in water (421 grams) at 45±2°C followed by adding acid fungal protease (AFP 2000) (19 mg) and 85% phosphoric acid (3.04 g). In three hours, the slurry was cooled down to ambient temperature and spun down at 3,000 rpm for 10 minutes. In spite of most of starch was  
10 isolated as a white dense precipitate, the supernatant had very poor gloss until it was treated with Optidex L-300 (0.12 ml). In 30 minutes, the starch iodine test was negative, indicating complete hydrolysis of the starch. The resulting latex was adjusted to pH 3.0 and gives gloss values over 40 on display gloss boards.

**EXAMPLE 5 - *Wheat Gluten Gloss Containing High Gluten Solids***

15      A reaction vessel was filled with 20 gallons of reverse osmosis, deionized (RODI) water. To this volume, 1.33 kg of 85% weight phosphoric acid (J.T. Baker) was added. A recirculating loop was attached to the discharge of the reactor through a diaphragm pump, an in-line mixer (Silverson Mixing, East Longmeadow, MA) and returning to the reactor. Agitation of the acidified water was sufficient to produce a  
20 vortex. The water was heated to 45°C using the reactor jacket. VWG powder (Manildra Milling Co., Shawnee Mission, KS) was added to the reactor slowly. The pump and mixer in the recirculating loop were turned on. After adding one fourth of the gluten (approximately 19kg), 8.3 gm of protease (AFP 2000) was added. VWG continued to be added slowly while the slurry was circulated through the in-line mixer. The in-line  
25 mixer serves to assist the break-up of clumps of VWG and promotes rapid wetting of the powder. VWG addition was completed in 60 minutes. In all 33.2 kg of VWG was added.



The slurry continued to be circulated for another 90 minutes. Temperature was maintained at 45°C using a circulating water bath for an additional 60 minutes. The total time for protease digestion was three hours.

Steam was added to the protein slurry to raise the temperature from 45°C to 5 65°C (4 min.). An amylase (Optidex L-300) was added to the slurry in the amount of 130 g for 60 minutes. There was still starch present as shown by a positive reaction to iodine. The slurry was heated to 85°C using injected steam. It was held at 85°C for 15 minutes and cooled to 65°C. A second dose of Optidex L-300 was added. After 30 minutes, the iodine test was negative for starch. The slurry was heated to 100°C by 10 injecting steam into the slurry. After one hour at 100°C, the slurry was cooled over a two hour period to 27°C.

A solution of fructose (ADM, Decatur, IL) (9.5 kg) was prepared using RODI water. It was added to the cooled slurry in a proportion such that the final solids were brought to 17% and the fructose fraction of the solids was 30%. A preservative (sodium 15 benzoate) was added to the diluted solution at a 0.04% level.

#### EXAMPLE 6 - *Wheat Gluten Gloss Containing 28% Solids*

A reaction vessel was filled with 20 gallons of RODI water. To this volume, 0.335 kg of 85% weight phosphoric acid (J.T. Baker) was added. A recirculating loop was attached to the discharge of the reactor through a diaphragm pump, an in-line mixer 20 (Silverson Mixing, East Longmeadow, MA) and finally the loop returned to the reactor. Agitation of the acidified water was sufficient to produce a vortex. The water was heated to 46°C using the reactor jacket. VWG powder (Manildra Milling Co., Shawnee Mission, KS) was added to the reactor slowly. The pump and mixer in the recirculating loop were turned on. After adding one fourth of the gluten (approximately 8.1 kg), 8.3 25 g of protease (AFP 2000) was added. Another 8.1 kg of VWG was added slowly while the slurry was circulated through the in-line mixer. Then 0.65 kg of 85% weight phosphoric acid was added. Another 8.1 kg of VWG was added; followed by 0.335 kg of 85% weight phosphoric acid. Finally the last portion of VWG was added. During

this time the slurry was circulated through the in-line mixer. The in-line mixer serves to assist the break-up of clumps of VWG and promotes rapid wetting of the powder.

VWG addition was completed in 25 minutes. In all, 32.5 kg of VWG was added.

Slurry continued to be circulated for another 110 minutes. Temperature was  
5 maintained at 45°C using a circulating water bath for an additional 60 minutes. The total time for proteinase was three hours following the last addition of VWG.

Steam was added to the protein slurry to raise the temperature from 45°C to  
80°C (6 mins.) and held at this temperature for 30 minutes. An amylase (Optidex L-  
300) was added to the slurry in the amount of 381 gm. The enzyme was allowed to  
10 work for 60 minutes. At the end of this period, there was still starch present as shown by a positive reaction to iodine. The slurry was heated to 100°C by injecting steam into the slurry. After one hour at 100°C, the slurry was cooled over a two hour period to 27°C.

A solution of fructose (ADM, Decatur, IL) (9.5 kg) was prepared using RODI  
15 water. It was added to the cooled slurry in a proportion such that the final solids were brought to 17% and the fructose fraction of the solids was 30%. A preservative (sodium benzoate) was added to the diluted solution at a 0.04% level.

*EXAMPLE 7: Treatment of Vital Wheat Gluten (VWG) with Glucoamylase  
and Protease*

20 Vital Wheat Gluten (VWG) (76 grams) was dispersed with stirring in reverse osmosis deionized (RODI) water (421 grams) at 80°C to 85°C followed by adding 85% phosphoric acid (3.04 g). In 30 minutes the temperature was reduced to 65±2°C and a glucoamylase (Optidex L-300; Genencor International, Rochester, NY)(0.27 ml) was added. The stirring was continued at this temperature until starch iodine test was  
25 negative (approximately 30 minutes). After that, temperature was reduced to 45±2°C and acid fungal protease as a powder (Genencor International, Rochester, NY)(AFP 2,000)(19 mg) was added to initiate the protein hydrolysis. The stirred slurry maintained at this temperature for 3 hours. The enzymes were inactivated by heating to

boiling point for 5 minutes, sodium benzoate (0.4 grams) as a preservative was added, the suspension was cooled down and adjusted to a pH of 3.0 with phosphoric acid. The final latex provided a shiny gloss both on the Leneta gloss boards and fresh baked buns. The gloss could be improved noticeably adding fructose (up to 30% with respect to solids). Baking gloss is sprayed on hot fresh buns. After drying, the gloss is visually scored from 0 to 5, with 0 representing no gloss and 5 representing excellent gloss.

EXAMPLE 8: *Large Scale Treatment of VWG*

A holding tank was filled with 7,335 lbs. of deionized (DI) water. To this volume, 51.9 lbs. of 85 wt% phosphoric acid was added. The acidified water was transferred to a stirred-reaction vessel. A recirculating loop was connected to the bottom part discharge of the reactor. It consisted of a diaphragm pump, a 25 gallon Likwifier, an in-line mixer (Silverson Mixing, East Longmeadow, MA), another diaphragm pump and a line that returned the slurry below the fluid surface in the reactor. Agitation of the acidified water was sufficient to produce a vortex. The solution was heated to 77°C.

The recirculating loop was activated. The pump speeds were adjusted to maintain a liquid level in the Likwifier. VWG (Manilda Milling Co., Shawnee Mission, KS) was slowly added to the Likwifier until 1,300 pounds of VWG were wetted. The slurry was held at about 71°C for 30 minutes until most of the VWG dispersed. The degree of dispersion was monitored by passing a sample of the slurry through a 40 mesh sieve and qualitatively evaluating the residue for amount and particle size.

The slurry was cooled with city water to 65°C. An amylase (Optidex L-300)(Genencor International, Rochester, NY) was added to the slurry in the amount of 2.1 liters. The slurry was held at 65°C for one hour. At the end of this time, the slurry was sampled and tested for the presence of starch using the iodine method described above. None was found.

The slurry was cooled with city water to 45°C. A protease (AFP 2000, Genencor International, Inc., Rochester, NY) was added to the slurry in the amount of

147 grams. The progress of the protease reaction was followed by the drop in viscosity of the slurry sample. A #2 Zahn cup was used to follow the viscosity decline that accompanied the enzymatic reaction. After three hours, there was not a detectable change in the viscosity.

- 5           The slurry was quickly heated to 100°C using injected steam directly. The slurry was held at 100°C for 60 minutes. At the end of that period, it was cooled to 25°C.

- A solution of DI water and fructose (ADM, Decatur, IL) (9.5 kg) was prepared. It was added to the cooled slurry in a proportion such that the final solids were brought  
10 to 14.9% and the fructose fraction of the solids was 30%. A preservative (sodium benzoate) was added to the diluted solution at a 0.04% level.

#### EXAMPLE 9 - Large Scale Preparation of VWG-based Baking Gloss

- A holding tank was filled with 7,905 lbs. of deionized (DI) water. To this volume, 63.0 lbs. of 85 wt% phosphoric acid was added. The acidified water was  
15 transferred to a stirred-reaction vessel. A recirculating loop was connected to the bottom port of the reactor. It consisted of a diaphragm pump, a 25 gallon Likwifier (American Ingredients Co., Kansas City, MO), an in-line mixer (Silverson Mixing, East Longmeadow, MA), another diaphragm pump and a line that returned the slurry below the fluid surface in the reactor. Agitation of the acidified water was sufficient to  
20 produce a vortex. The solution was heated to 66°C.

- The recirculating loop was activated. The pump speeds were adjusted to maintain a liquid level in the Likwifier. Vital wheat gluten (VWG) (Manildra Milling Co., Shawnee Mission, KS) was slowly added to the Likwifier until 1,400 pounds of VWG were wetted. The slurry was held at about 65°C for 30 minutes until most of the  
25 VWG dispersed. The degree of dispersion was monitored by passing a sample of the slurry through a 40 mesh sieve and qualitatively evaluating the residue for amount and particle size.

An amylase (Optidex L-300, Genencor International, Inc., Rochester, NY) was added to the slurry in the amount of 2.26 liters. The slurry was held at 65°C for one hour. At the end of this time, the slurry was sampled and tested for the presence of starch. None was found.

- 5       The slurry was cooled with city water to 45°C. A protease (AFP-2000, Genencor International, Inc., Rochester, NY) was added to the slurry in the amount of 159 grams. The progress of the protease reaction was followed by measuring the viscosity of a slurry sample. A #2 Zahn cup was used to follow the viscosity decline that accompanied the enzymatic reaction. After three hours, there was not a detectable  
10 change in the viscosity.

The slurry was quickly heated to 100°C using steam directly added to the reactor contents. The slurry was held at 100°C for 60 minutes.

- A solution of DI water, fructose (ADM, Decatur, IL) (185 kg) and phosphoric acid (3.5 liters) was prepared. It was added to the cooled slurry in a proportion such that  
15 the final solids were brought to 14.9%, the fructose fraction of the solids was 30% and the slurry pH was 3.0. A preservative (sodium benzoate) was added to the dilution liquor in an amount (3.36 kg) to correspond to a 0.06% level in the final slurry. This dilution liquor was added to the VWG slurry at 96°C.

The slurry was cooled to 71°C and packaged.

20   EXAMPLE 10 - *Enzymatic Treatment of Vital Wheat Gluten (VWG) using a Gradual Addition of Acid at Reduced Temperature*

- VWG powder (76 g) and a glucoamylase (Optidex L-300)(0.27 ml) were  
dispersed with stirring in water (421 g) having temperature of 65±2°C and containing  
8.5% phosphoric acid (10 ml). Within 30 minutes after preparing the slurry the rest of  
25 8.5% phosphoric acid (20 ml) was added either by small portions or continuously. The stirring was continued at said temperature until starch iodine test was negative (totally 1-1.5 hours). After that, the temperature was reduced to 45±2°C and acid fungal protease as powder (AFP 2,000)(19 mg) was added as a powder. The stirring was

maintained at this temperature for 3 hours. The enzymes were inactivated by heating to 95°C for 10 minutes, benzoic acid (0.4 g) as a preservative was added, the suspension was cooled down and diluted to 12-14% solids and adjusted to a pH of 3.0 with phosphoric acid. The final product provided a shiny gloss; it could be improved  
5 noticeably adding fructose (up to 30% of solids). This procedure allows to avoid the lumps forming as well as a high viscous slurry.

EXAMPLE 11 - *Use of the Gluten-Derived Gloss Suspension as an Edible  
Adhesive*

A. *Comparison of Adhesive properties of Gluten-Derived Gloss vs. N-Tack  
and E-Pro-Glo*

5        Loaves of bread were baked and placed upside down on a wire rack. 15g of  
gluten gloss of this invention was applied to the bottom of the loaf and 5g of poppy  
seeds were evenly distributed on the surface of the loaf. The gloss was allowed to dry  
for 30 minutes. After the gloss was dry, the loaves were placed on a vibrating bed to  
stress the poppy seeds, the loaves were also brushed with a pastry brush to dislodge the  
10 seeds. The amount of poppy seeds remaining on the loaves were recorded. Gluten  
gloss of this invention and N-Tack (National Starch Chemical Co., Bridgewater, NJ)  
held approximately the same amount of poppy seeds. The gluten gloss of this invention  
held 27% more seeds than E-Pro-Glo (Excelpro Inc., Los Angeles, CA).

B. *Adhering Oats and Confections to Cereal with Gluten-Derived Gloss*

15        Thirteen variables were produced to adhere various products to cereal. The  
cereal was heated for 10-20 minutes prior to application of gloss (17%-22% of product)  
and then heated to drive off moisture from the gluten-derived gloss. The gluten-derived  
gloss was effective in adhering oats and small candy pieces.

20        While this invention has been particularly shown and described with references  
to preferred embodiments thereof, it will be understood by those skilled in the art that  
various changes in form and details may be made therein without departing from the  
spirit and scope of the invention as defined by the appended claims.

CLAIMS

We claim:

1. A method for producing an aqueous, gluten-derived colloidal dispersion, which upon application to a substrate imparts a gloss thereon, comprising:
  - 5 a) preparing an aqueous dispersion of gluten under agitating conditions;
  - b) hydrolyzing protein contained in the gluten using a protease under conditions sufficient to change the gluten dispersion viscosity;
  - c) heating the product of step b) to a temperature sufficient to gelatinize the starch contained in the gluten; and
  - 10 d) hydrolyzing starch within the dispersion with a starch hydrolyzing enzyme under conditions sufficient to remove essentially all starch contained therein; thereby producing an aqueous, gluten-derived colloidal dispersion which upon application to a substrate imparts a gloss thereon.
- 15 2. A method for producing an aqueous, gluten-derived colloidal dispersion, which upon application to a substrate imparts a gloss thereon, comprising:
  - a) preparing an aqueous dispersion of gluten under agitating conditions;
  - b) hydrolyzing protein contained in the gluten using a protease under conditions sufficient to change the gluten dispersion viscosity;
  - 20 c) physically removing starch from the dispersion by centrifugation; and
  - d) hydrolyzing residual starch remaining within the dispersion with a starch hydrolyzing enzyme under conditions sufficient to remove essentially all starch contained therein; thereby producing an aqueous, gluten-derived colloidal dispersion, which upon application to a substrate imparts a
  - 25 gloss thereon.



3. A method for producing an aqueous, gluten-derived colloidal dispersion, which upon application to a substrate imparts a gloss thereon, comprising:
- a) preparing an aqueous dispersion of gluten under agitating conditions;
  - b) heating the product of step a) to a temperature sufficient to gelatinize the starch contained in the gluten;
  - c) hydrolyzing starch within the dispersion with a starch hydrolyzing enzyme under conditions sufficient to remove essentially all starch contained therein;
  - d) hydrolyzing protein contained in the gluten using a protease under conditions sufficient to change the gluten dispersion viscosity; and
  - e) heating the colloidal dispersion; thereby producing an aqueous, gluten-derived colloidal dispersion which upon application to a substrate imparts a gloss thereon.
4. The method according to anyone of Claims 1 to 3 wherein the gluten is from corn, wheat, barley, rice, rye or sorghum.
5. The method according to anyone of Claims 1 to 3 wherein the aqueous gluten dispersion is acidified before, during or after the protease step.
6. The method according to anyone of Claims 1 to 3 wherein the protein hydrolysis step is carried out at from about 2 to about 3 hours.
7. The method according to anyone of Claims 1 to 3 wherein the gelatinization step is carried out at a temperature of from about 65°C to about 95°C.
8. The method according to anyone of Claims 1 to 3 wherein the starch hydrolyzing enzyme is an enzyme containing glucoamylase, amylose or

pullanase having an activity sufficient to hydrolyze the starch to maltose or glucose.

9. The method according to anyone of Claims 1 to 3 wherein the starch hydrolysis step is carried out at a temperature of from about 65°C to 85°C.
- 5 10. The method according to anyone of Claims 1 to 3, further comprising heating the gluten dispersion after starch hydrolysis is completed to inactivate the starch hydrolyzing enzyme.
11. The method according to anyone of Claims 1 to 3, further comprising the step of  
10 diluting the colloidal dispersion to obtain a total solids content of from about 10% to about 17% by weight.
12. The method according to anyone of Claims 1 to 3, further comprising adding a preservative to the final product.
13. The method according to anyone of Claims 1 to 3, further comprising adding color and/or flavor to the final product.
- 15 14. The method according to anyone of Claims 1 to 3 wherein the amount of gluten dispersed in step a) is from about 1% to about 35% by weight solids.
15. The method according to anyone of Claims 1 to 3 wherein the amount of gluten dispersed in step a) is from about 10% to about 16% by weight solids.
16. The method according to any one of Claims 1 to 3, further comprising admixing  
20 a stabilizing agent into the product of step d).

17. An aqueous, gluten-derived colloidal dispersion obtainable by the method according to anyone of Claims 1 to 3.
18. A method for making an edible coating on a substrate, comprising the steps of:  
a) apply an aqueous, gluten-derived colloidal dispersion produced by the  
5 method according to anyone of Claims 1 to 3 to a substrate; and  
b) drying the colloidal dispersion under ambient or elevated temperature to fuse and form an edible continuous coating of gluten-derived protein onto the surface of said substrate.
19. The method of Claim 18 wherein the substrate is selected from the group  
10 consisting of chocolates, high sugar confections, fruits, meats, baked goods, vegetables, seeds, nuts, beans, cereals, vitamins, tablets, fried foods, french fries and snack foods.
20. A substrate having an edible coating thereon, said coating comprising fused  
15 microparticles of a gluten-derived protein and peptides from an aqueous colloidal dispersion, produced by the method according to anyone of Claims 1 to 3, in which the liquid phase was removed at ambient or elevated temperature.
21. The coated substrate of Claim 20 wherein the substrate is selected from the  
20 group consisting of chocolates, high sugar confections, fruits, meats, baked goods, vegetables, seeds, nuts, beans, cereal, vitamins, tablets, fried foods, french fries and snack foods.
22. An edible film derived from an aqueous colloidal dispersion produced by the method according to anyone of Claims 1 to 3.

23. A method for adhering edible particulate material onto the surface of a substrate, comprising the steps of:
- a) coating the substrate with an aqueous, gluten-derived colloidal dispersion produced by the method according to anyone of Claims 1 to 3; and
  - 5 b) applying an edible particulate material onto the coating before the coating completely dries.
24. The method of Claim 23 wherein the edible particulate material is selected from the group consisting of fruit pieces, confections, candies, sprinkles, seeds, salt, spices and combinations thereof.
- 10 25. A powder produced by drying an aqueous, gluten-derived colloidal dispersion produced by the method according to anyone of Claims 1 to 3.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/30929

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : A23J 1/12, 1/14, 3/18, 3/30, 3/34; A23P 1/08

US CL : 426/28, 49, 52, 93, 94, 102, 292, 293, 656

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 426/28, 49, 52, 93, 94, 102, 292, 293, 656

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, FSTA, DIALOG, search terms: starch, protein, hydrolysis, protease, amylase, coating, acid, glucoamylase,

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4,267,275 A (MULLER) 12 May 1981, abstract and col. 3, lines 17-47.	1-2, 4-27
Y	US 5,912,031 A (FITCHETT et al.) 15 June 1999, (abstract and col. 1, lines 18-23)	1-2, 4-27
Y	TAUFEL et al. Enzymatic modification of proteins. Abstract, Central Institute of Nutrition, Nahrung, 1986, vol. 30 (3/4) p. 442-443.	1, 2, 4-7

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 11 FEBRUARY 2000	Date of mailing of the international search report 24 FEB 2000
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